

GEOGRAPHY  
Total Citi [L1]SEGMENT  
North AmericaLEGAL ENTITY  
-STATUS  
Active

## DASHBOARD

## REQUIREMENTS

## RULES

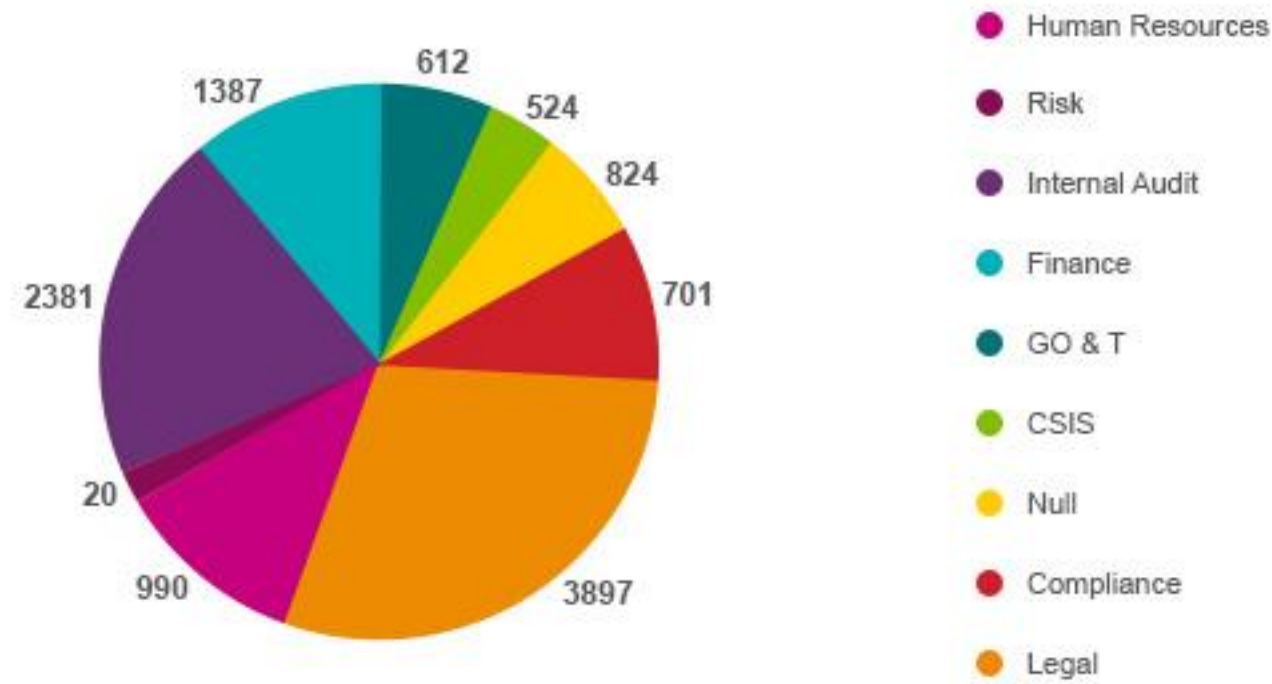
## Requirements

By RCM

By ETR

By CRT - Anchoring

By Function

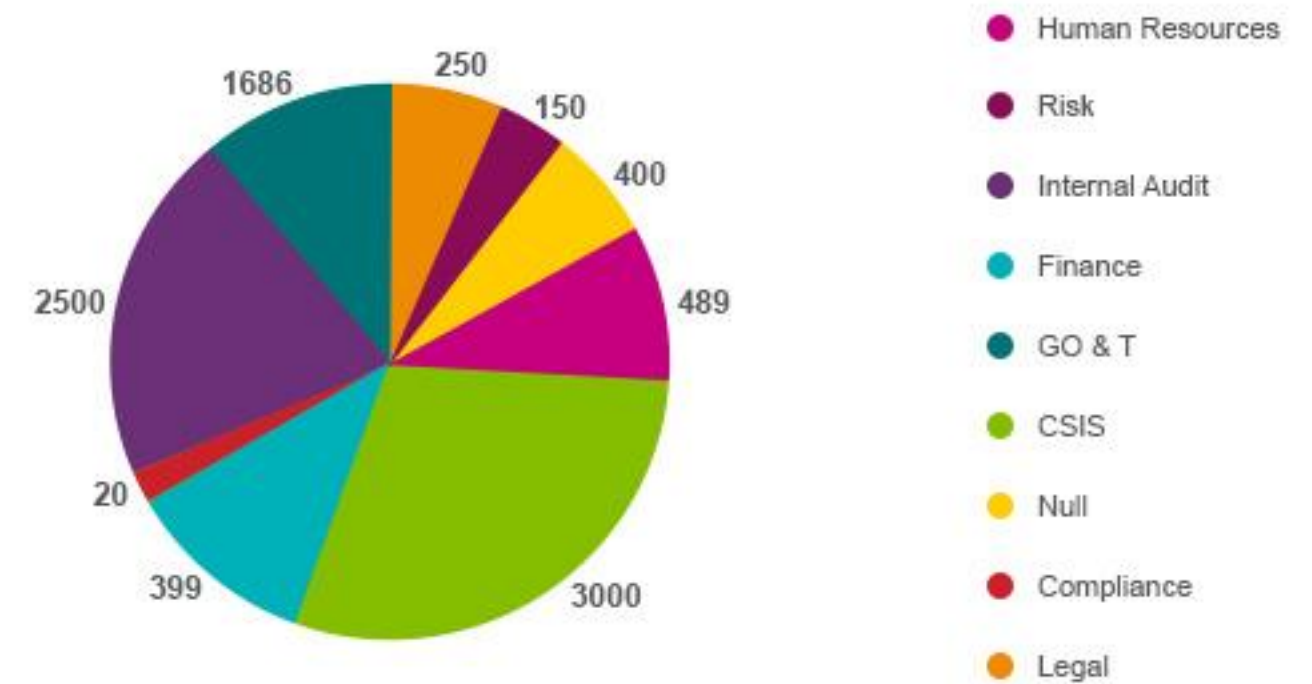


Functions	Requirements Count
Human Resources	990
Risk	20
Internal Audit	2381
Finance	1387
GO & T	612
CSIS	524
Null	824
Compliance	701
Legal	3897
Total	11336

## Rules

By CRT - Anchoring

By Function



Functions	Rules Count
Human Resources	489
Risk	150
Internal Audit	2500
Finance	399
GO & T	1686
CSIS	3000
Null	400
Compliance	20
Legal	250
Total	8894



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DASHBOARD

REQUIREMENTS

RULES

Search by ID or Name

Advanced

Compliance ×



Req.ID	Req.Name	Status	Category	Regulator	CRT Anchoring	Function	Actions
<a href="#">HDE568</a>	Commercial	Expired	Reg.Agency - Rules/Regulation	ASPAC	Provision to Commercial...	Compliance	
<a href="#">AHE145</a>	Citi Insight	Active	Commitment	EMEA	Account Restrictions	Compliance	
<a href="#">QRT877</a>	Metrix	Inactive	Reg.Guidance	EMEA	Detection	Compliance	
<a href="#">TER365</a>	RCM Requirement	Expired	Legislative Bodies - Act/Statute	EMEA	Prevention	Compliance	
<a href="#">KAB254</a>	Inventory	Active	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	
<a href="#">LKM258</a>	Some Requiement Text	Expired	Commitment	ASPAC	Customer Compliance	Compliance	
<a href="#">WQR669</a>	Commercial	Inactive	Reg.Guidance	LATAM	Provision to Commercial...	Compliance	
<a href="#">HDE568</a>	Citi Insight	Active	Legislative Bodies - Act/Statute	LATAM	Account Restrictions	Compliance	
<a href="#">AHE145</a>	Metrix	Active	Reg.Agency - Rules/Regulation	ASPAC	Detection	Compliance	
<a href="#">QRT877</a>	RCM Requirement	Expired	Commitment	EMEA	Prevention	Compliance	
<a href="#">TER365</a>	Inventory	Active	Reg.Guidance	EMEA	Reporting	Compliance	
<a href="#">KAB254</a>	Some Requiement Text	Expired	Legislative Bodies - Act/Statute	EMEA	Customer Compliance	Compliance	
<a href="#">LKM258</a>	Metrix	Expired	Reg.Agency - Rules/Regulation	ASPAC	Provision to Commercial...	Compliance	
<a href="#">WQR669</a>	Commercial	Active	Commitment	ASPAC	Account Restrictions	Compliance	
<a href="#">HDE568</a>	Citi Insight	Expired	Reg.Guidance	LATAM	Detection	Compliance	
<a href="#">AHE145</a>	Metrix	Inactive	Legislative Bodies - Act/Statute	LATAM	Prevention	Compliance	
<a href="#">QRT877</a>	RCM Requirement	Inactive	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	
<a href="#">TER365</a>	Inventory	Expired	Commitment	EMEA	Customer Compliance	Compliance	
<a href="#">KAB254</a>	Some Requiement Text	Active	Reg.Guidance	EMEA	Provision to Commercial...	Compliance	
<a href="#">LKM258</a>	Commercial	Active	Legislative Bodies - Act/Statute	EMEA	Account Restrictions	Compliance	
<a href="#">WQR669</a>	Citi Insight	Expired	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	
<a href="#">QRS758</a>	Inventory	Active	Commitment	EMEA	Detection	Compliance	
<a href="#">LKM258</a>	Commercia	Active	Reg.Guidance	ASPAC	Prevention	Compliance	
<a href="#">BBQ582</a>	Inventory	Active	Commitment	EMEA	Detection	Compliance	
<a href="#">LKM258</a>	nventory	Expired	Commitment	EMEA	Detection	Compliance	



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STATUS ▼

## ID, Name of the Requirement



Requirement ID

HDE568

Pseudo ID

AHE145

Requirement Name \*

Citi Insight

Status

Active

## ▼ Details

Citation \*

Placeholder

RCM Program \*

Placeholder

Policy Linkage

Placeholder

Category Of Requirement \*

Placeholder

Legacy Catalyst ID

Placeholder

Compliance Date \*

09/11/2017



Regulator \*



Afghanistan - Other Agencies ×

Algeria - Bank of Algeria ×

Requirement Summary - English Text \*

Lorem Ipsum Dummy Text

Requirement Summary - Local Text \*

Lorem Ipsum Dummy Text

Regulatory Text

Lorem Ipsum Dummy Text

## ► Fields

## ► Applicability

## ► Additional Details

## ► History



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SEGMENT ▼

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STATUS ▼

## ID, Name of the Requirement



Requirement ID

HDE568

Pseudo ID

AHE145

Requirement Name \*

Citi Insight

Status

Active

► Details

▼ Fields

Content Editor \*

Placeholder

Content Editor Group

Placeholder

Compliance Risk Taxonomy - Anchoring \*

Lorem Ipsum Dummy Text

Compliance Risk taxonomy - Other

Lorem Ipsum Dummy Text

Related Business Activity

Lorem Ipsum Dummy Text

Product

Lorem Ipsum Dummy Text

Function \*

Placeholder

Reviewed By Legal

Placeholder

Date of Last Legal Review

08/11/2017



ETR \*

Placeholder

ETR Control Expectation

Placeholder

Valid From

12/09/2017



Valid Until

01/01/2018



► Applicability

► Additional Details

► History



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LEGAL ENTITY ▼

STATUS ▼

## ID, Name of the Requirement



Requirement ID

HDE568

Pseudo ID

AHE145

Requirement Name \*

Citi Insight

Status

Active

► Details

► Fields

▼ Applicability

Does Apply

Managed Geography	Managed Segment	Legal Entity	Inherent Risk Rating	Control Risk Rating	Residual Risk Rating
China	Dummy Segment	Dummy Legal Entity	High	Strong	High
Japan	Dummy Segment	Dummy Legal Entity	Medium High	Satisfactory	Medium High
Asia	Dummy Segment	Dummy Legal Entity	Medium	Fair	Medium
Russia	Dummy Segment	Dummy Legal Entity	Low	Weak	Low
USA	Dummy Segment	Dummy Legal Entity	Medium	Strong	Low

Can Apply

Managed Geography	Managed Segment	Legal Entity
China	Dummy Segment	Dummy Legal Entity

► Additional Details

► History



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## ID, Name of the Requirement



Requirement ID

HDE568

Pseudo ID

AHE145

Requirement Name \*

Citi Insight

Status

Active

► Details

► Fields

► Applicability

▼ Additional Details

Regulatory Reporting

Placeholder

Financial Name of Report

Placeholder

Financial Frequency of Report

08/11/2017

Non Financial Name of Report

Placeholder

Non Financial Frequency of Report

Placeholder

Cross Reference to MCA

Placeholder

Add Files

sample document.xls

Add URL

Placeholder

► History



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## ID, Name of the Requirement



Requirement ID

HDE568

Pseudo ID

AHE145

Requirement Name \*

Citi Insight

Status

Active

▸ Details

▸ Fields

▸ Applicability

▸ Additional Details

▼ History

Created By

Placeholder

Created On

08/11/2017



Modified By

Placeholder

Modified On

09/12/2017





STATUS

## RULES

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GEOGRAPHY

Total Citi [L1]

SEGMENT

North America

LEGAL ENTITY

-

STATUS

Active

🔍 Search by ID or Name

⚙️ Advanced

Compliance

📄

Rule ID	Rule Name	Req.Name	Status	Category	Regulator	CRT Anchoring	Function	Actions
<a href="#">HDE568</a>	Dummy Rule Name	Commercial	Expired	Reg.Agency - Rules/Regulation	ASPAC	Provision to Commercial...	Compliance	👁
<a href="#">AHE145</a>	Dummy Rule Name	Citi Insight	Active	Commitment	EMEA	Account Restrictions	Compliance	👁
<a href="#">QRT877</a>	Dummy Rule Name	Metrix	Inactive	Reg.Guidance	EMEA	Detection	Compliance	👁
<a href="#">TER365</a>	Dummy Rule Name	RCM Requirement	Expired	Legislative Bodies - Act/Statute	EMEA	Prevention	Compliance	👁
<a href="#">KAB254</a>	Dummy Rule Name	Inventory	Active	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	👁
<a href="#">LKM258</a>	Dummy Rule Name	Some Requiement Text	Expired	Commitment	ASPAC	Customer Compliance	Compliance	👁
<a href="#">WQR669</a>	Dummy Rule Name	Commercial	Inactive	Reg.Guidance	LATAM	Provision to Commercial...	Compliance	👁
<a href="#">HDE568</a>	Dummy Rule Name	Citi Insight	Active	Legislative Bodies - Act/Statute	LATAM	Account Restrictions	Compliance	👁
<a href="#">AHE145</a>	Dummy Rule Name	Metrix	Active	Reg.Agency - Rules/Regulation	ASPAC	Detection	Compliance	👁
<a href="#">QRT877</a>	Dummy Rule Name	RCM Requirement	Expired	Commitment	EMEA	Prevention	Compliance	👁
<a href="#">TER365</a>	Dummy Rule Name	Inventory	Active	Reg.Guidance	EMEA	Reporting	Compliance	👁
<a href="#">KAB254</a>	Dummy Rule Name	Some Requiement Text	Expired	Legislative Bodies - Act/Statute	EMEA	Customer Compliance	Compliance	👁
<a href="#">LKM258</a>	Dummy Rule Name	Metrix	Expired	Reg.Agency - Rules/Regulation	ASPAC	Provision to Commercial...	Compliance	👁
<a href="#">WQR669</a>	Dummy Rule Name	Commercial	Active	Commitment	ASPAC	Account Restrictions	Compliance	👁
<a href="#">HDE568</a>	Dummy Rule Name	Citi Insight	Expired	Reg.Guidance	LATAM	Detection	Compliance	👁
<a href="#">AHE145</a>	Dummy Rule Name	Metrix	Inactive	Legislative Bodies - Act/Statute	LATAM	Prevention	Compliance	👁
<a href="#">QRT877</a>	Dummy Rule Name	RCM Requirement	Inactive	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	👁
<a href="#">TER365</a>	Dummy Rule Name	Inventory	Expired	Commitment	EMEA	Customer Compliance	Compliance	👁
<a href="#">KAB254</a>	Dummy Rule Name	Some Requiement Text	Active	Reg.Guidance	EMEA	Provision to Commercial...	Compliance	👁
<a href="#">LKM258</a>	Dummy Rule Name	Commercial	Active	Legislative Bodies - Act/Statute	EMEA	Account Restrictions	Compliance	👁
<a href="#">WQR669</a>	Dummy Rule Name	Citi Insight	Expired	Reg.Agency - Rules/Regulation	ASPAC	Reporting	Compliance	👁
<a href="#">QRS758</a>	Dummy Rule Name	Inventory	Active	Commitment	EMEA	Detection	Compliance	👁
<a href="#">LKM258</a>	Dummy Rule Name	Commercia	Active	Reg.Guidance	ASPAC	Prevention	Compliance	👁
<a href="#">BBQ582</a>	Dummy Rule Name	Inventory	Active	Commitment	EMEA	Detection	Compliance	👁
<a href="#">LKM258</a>	Dummy Rule Name	nventory	Expired	Commitment	EMEA	Detection	Compliance	👁



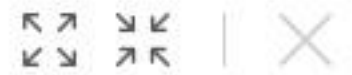
GEOGRAPHY ▼

SEGMENT ▼

LEGAL ENTITY ▼

STATUS ▼

## ID, Name of the Rule



Rule ID

HDE568

Rule Name

Dummy Name

Pseudo ID

AHE879

Requirement Name

Dummy Requirement Name

Status

Active

## ▼ Details

Citation \*

Placeholder

RCM Program \*

Placeholder

Policy Linkage

Placeholder

Category Of Rule \*

Placeholder

Legacy Catalyst ID

Placeholder

Compliance Date \*

09/11/2017



Regulator \*



Afghanistan - Other Agencies ×

Algeria - Bank of Algeria ×

Rule Summary - English Text \*

Lorem Ipsum Dummy Text

Rule Summary - Local Text \*

Lorem Ipsum Dummy Text

Regulatory Text

Lorem Ipsum Dummy Text

## ► Fields

## ► Applicability

## ► Additional Details

## ► History



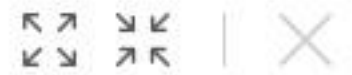
GEOGRAPHY ▼

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LEGAL ENTITY ▼

STATUS ▼

## ID, Name of the Rule



Rule ID

HDE568

Rule Name

Dummy Name

Pseudo ID

AHE879

Requirement Name

Dummy Requirement Name

Status

Active

## Details

## Fields

Content Editor \*

Placeholder

Content Editor Group

Placeholder

Compliance Risk Taxonomy - Anchoring \*

Lorem Ipsum Dummy Text

Compliance Risk taxonomy - Other

Lorem Ipsum Dummy Text

Related Business Activity

Lorem Ipsum Dummy Text

Product

Lorem Ipsum Dummy Text

Function \*

Placeholder

Reviewed By Legal

Placeholder

Date of Last Legal Review

08/11/2017



Valid From

12/09/2017

Valid Until

01/01/2018



## Applicability

## Additional Details

## History



ID, Name of the Rule



Rule ID

HDE568

Rule Name

Dummy Name

Pseudo ID

AHE879

Requirement Name

Dummy Requirement Name

Status

Active

Details

Fields

Applicability

Does Apply

Managed Geography	Managed Segment	Legal Entity
China	Dummy Segment	Dummy Legal Entity

Can Apply

Managed Geography	Managed Segment	Legal Entity
China	Dummy Segment	Dummy Legal Entity

Additional Details

History



GEOGRAPHY ▼

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STATUS ▼

## ID, Name of the Rule



Rule ID

HDE568

Rule Name

Dummy Name

Pseudo ID

AHE879

Requirement Name

Dummy Requirement Name

Status

Active

► Details

► Fields

► Applicability

▼ Additional Details

Regulatory Reporting

Placeholder

Financial Name of Report

Placeholder

Financial Frequency of Report

08/11/2017

Non Financial Name of Report

Placeholder

Non Financial Frequency of Report

Placeholder

Cross Reference to MCA

Placeholder

Add Files

sample document.xls

Add URL

Placeholder

► History



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STATUS ▼

## ID, Name of the Rule



Rule ID

HDE568

Rule Name

Dummy Name

Pseudo ID

AHE879

Requirement Name

Dummy Requirement Name

Status

Active

▸ Details

▸ Fields

▸ Applicability

▸ Additional Details

▼ History

Created By

Placeholder

Created On

08/11/2017



Modified By

Placeholder

Modified On

09/12/2017





STATUS  
Active

## RULES

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